

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takeshi OKINO et al.

SERIAL NO: 10/635,571

GAU:

FILED: AUGUST 7, 2003

EXAMINER:

FOR: RESIN USEFUL FOR RESIST, RESIST COMPOSITION AND PATTERN FORMING PROCESS USING THE SAME

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited on the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.
- A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was cited first in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

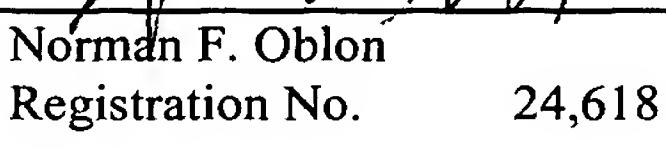
DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241085US0RDCONT		SERIAL NO. 10/635,571	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT		Takeshi OKINO et al.			
		FILING DATE		AUGUST 7, 2003			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
<input checked="" type="checkbox"/>	AO	08-259626	10-08-96	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AP	10-83076	03-31-98	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AQ	9-73173	03-18-97	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AR	7-120927	05-12-95	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AS	5-127370	05-25-93	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AT	10-239847	09-11-98	JAPAN w/Attached English Abstract		X	
<input checked="" type="checkbox"/>	AU	10-307400	11-17-98	JAPAN w/Attached English Abstract		X	
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							